

OLLI Investment Forum

Buy & Sell List Compendium (6 months)

08/16/24 through 02/14/25

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02/19/2025

OLLI Investment Forum website: <https://olligmu.org/finforum/index.html>

Disclaimer

- Buy & Sell segment is intended for educational purpose only regarding members' Buy, Sell, and Thinking-About trading activity from previous week.
- No recommendations or investment advice is given at the OLLI Investment Forum.
- Always perform your own "Due Diligence" on any information you hear or see.

Objectives

- **O1:** Learn from one another.
- **O2:** Respect that Forum members have their own investing preferences, approaches and risk tolerances.
- **O3:** Demonstrate use of online tools and resources for examining stocks and funds under discussion.
- **O4:** Encourage dialogue from all Forum members.

Etiquette

- **E1:** Be non-judgmental of what others are currently doing, have done, or thinking about doing. Remember **O2**.
- **E2:** Use Chat to ask questions on stocks and funds under discussion. Remember **O2**.
- **E3:** Keep Chat messages on-topic and in-context; avoid off-topic messages.

Suggested Listening Goals

Does my listening:

1. Teach me something new?
2. Help strengthen a weakness?
3. Help to expand beyond my current approach?
4. Help me learn to do better research for Due Diligence?
5. Help reduce or remove the “emotions” from investing?
6. Help me learn when to take profits?
7. Help me with redeploying capital gains and/or income?
8. Help me learn when to accept a loss and move on?
9. Help me seek “qualitative” before “quantitative” data?
10. Teach me how, when and why to rebalance my portfolio?

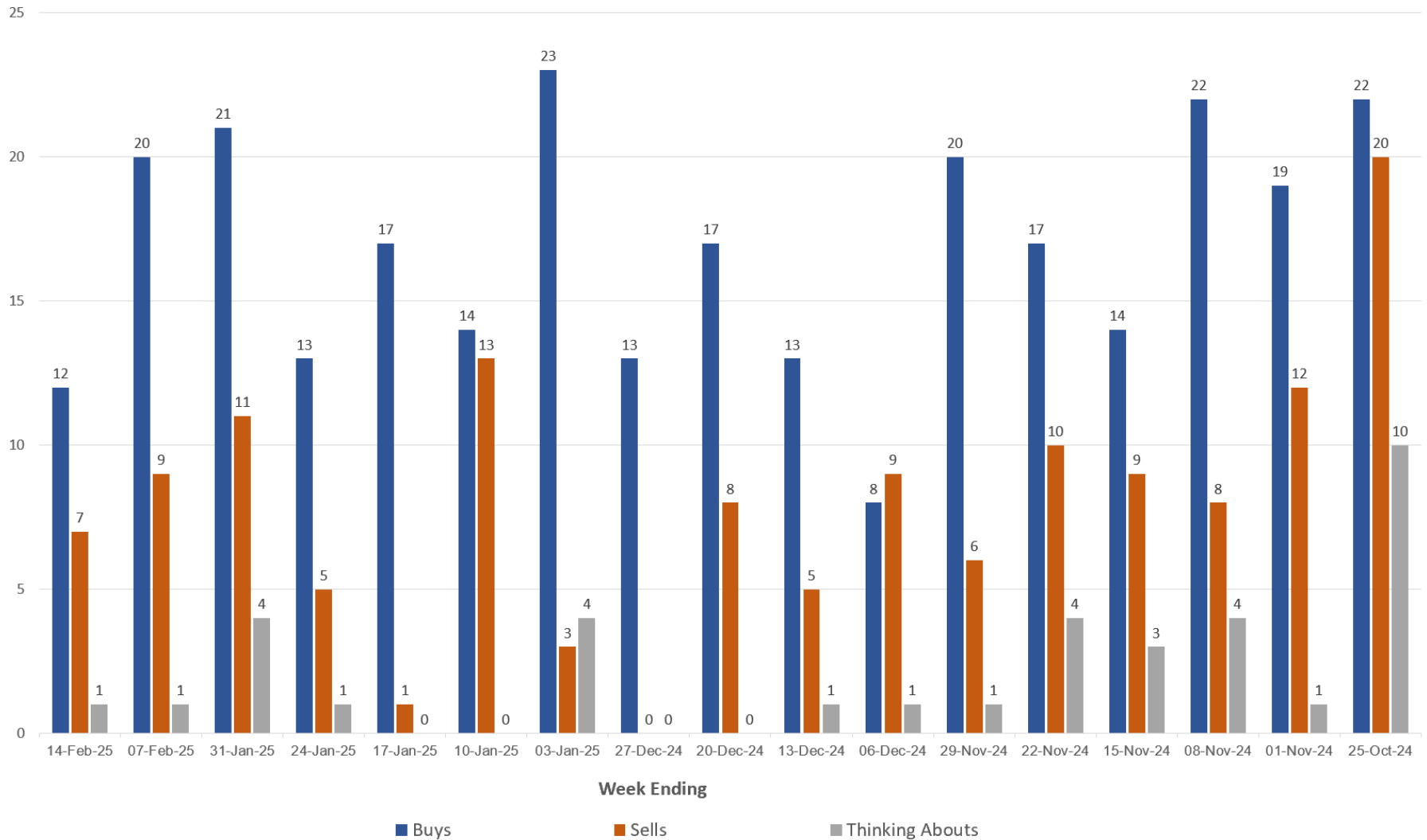
Research and Analysis Resources

- Brokerages: Schwab, Fidelity, Vanguard, your own
- Schwab “thinkorswim” trading platform
- Morningstar (free via county libraries)
- FinViz (finviz.com; free & subscription)
- Simply Safe Dividends (simplysafedividends.com; subscription)
- Seeking Alpha (seekingalpha.com; free & subscription)
- Yahoo Finance (finance.yahoo.com; free)
- ETF.com (free & subscription)
- BDC Investor (bdcinvestor.com; free)
- TipRanks (tipranks.com; free & subscription)
- CFRA, Argus (typically free via brokerage websites or tool links)
- Wall Street Journal (free via county libraries)
- Barron’s (subscription).

Screens and Terminology

- Forum uses multiple websites and online tools to examine stocks and funds.
- Some terminology may be new or unfamiliar.
- Investopedia ([Investopedia.com](https://www.investopedia.com)) and Google are helpful resources for terminology or concepts.
- Goal is to understand how to examine stocks or funds using available online tools and resources.

Buy, Sell, Thinking About: w/e 02/14/25



Buy, Sell, Thinking About: w/e 02/14/25

BUY		SELL	
	Alerian MLP ETF (AMLPL) [+]		Fidelity Int'l Capital Appr'n Fund (FIVFX) [-]
	Ares Capital Corp. (ARCC) [+]		Fidelity Tax-Free Bond Fund (FTABX) [-]
	Capital Southwest Corp. (CSWC) [+]		Invesco QQQ Trust ETF (QQQ) [-]
	Becton Dickinson & Co. (BDX) [+]		United Parcel Service (UPS) [-]
	CVS Health Corp. (CVS)		Beamr Imaging Ltd. (BMR) [C]
	Vertex Pharmaceuticals, Inc. (VRTX)		Cisco Systems, Inc. (CSCO) [-]
	AES Corp. (AES) [+]		WEC Energy Group Inc. (WEC) [C]
	CNO Financial Group bond, MW 5.25%, YTM 5.24%, Mat 05/30/29		
	MGIC bond, 5.35% YTM, Mat 08/15/28	THINKING ABOUT	
	Bank of Ozarks (OZKAP) 4.625% preferred shrs		Freshpet Inc. (FRPT)
	AGNC Investment Corp. (AGNC) 9.68% pref shrs		
	XLY Put Option, SP 212.50, Mar 07 2025		

Buy, Sell, Thinking About: w/e 02/07/25

BUY		BUY	
Janus Henderson AAA CLO ETF (JAAA) [+]			Vertiv Holdings Co. (VRT) [+]
Vanguard Inter-Term Corp Bond ETF (VCIT) [+]			Motorola Solutions Inc. (MSI)
Vanguard ST Infl Prt Sec Indx Fd ETF (VTIP) [+]			
Alphabet Inc. (GOOGL) [+]			Edison Int'l Bond, MW 5.25%, 11/15/28 Mat, 6.244% YTM [+]
Kroger Co. (KR)			
Ameriprise Financial Inc. (AMP)		FA	4.3% CD, YTM 4.755%, 03/31/25 Mat
Capital Southwest Corp. (CSWC) [+]		FA	U.S. Treas Note, 4.87%, 10/31/28 Mat
Federal National Mortgage Assoc'n (FNMA) [+]			U.S. Treas Note, 4.2732%, 11/15/26 Mat
Becton Dickinson & Co. (BDX)			
Automatic Data Processing Inc. (ADP)			Lennox Int'l Corp Bond (LII), 4.732% YTM, 08/01/27 Mat
FedEx Corp. (FDX) [+]			
Honeywell International Inc. (HON) [+]			
Int'l Consolidated Airlines Group ADR (ICAGY)			

Buy, Sell, Thinking About: w/e 02/07/25

SELL		SELL	
FA	SPDR Bloomberg 1-3 Month T-Bill ETF (BIL) [C]		
	Dodge & Cox Income Fund Class I (DODIX) [-]		
	iShares Semiconductor ETF (SOXX) [C]		
	SPDR S&P 500 ETF Trust (SPY) [C]		
	Technology Select Sector SPDR ETF (XLK) [C]		
	Danaher Corporation (DHR) [C]		
	Humana Inc. (HUM) [C]		
	Advanced Micro Devices, Inc. (AMD) [C]		
	NiSource Inc. (NI) [C]	THINKING ABOUT	
			Invesco S&P 500 Momentum ETF (SPMO)

Buy, Sell, Thinking About: w/e 01/31/25

BUY		BUY	
	iShares MSCI India ETF (INDA)		Microsoft Corp. (MSFT) [+]
	Invesco S&P 500 Momentum ETF (SPMO)		Nvidia Corp. (NVDA) [+][X2]
FA	Vanguard FTSE Developed Markets ETF (VEA)		Taiwan Semiconductor Mfg ADR (TSM)
FA	Vanguard Short-Term Inv Grade (VFSUX)		LyondellBasell Industries NV (LYB) [+]
	Yelp Inc. (YELP)		AES Corp. (AES) [+]
	Amazon.com, Inc. (AMZN) [+]		HPE Bond, YTM 4.6885%, mat 04/01/2026
	Hershey Co. (HSY)		3-year U.S. Treasury Note, 4.25% YTM
	Mondelez International Inc. (MDLZ) [+]		
	Blackstone, Inc. (BX) [+]		
	Nu Holdings Ltd. (NU)		
	Pfizer, Inc. (PFE) [+]		
	Vertex Pharmaceuticals, Inc. (VRTX) [+]		
	Eaton Corporation plc (ETN) [+]		

Buy, Sell, Thinking About: w/e 01/31/25

SELL		SELL	
	Meta Platforms Inc. (META) [-]		
	Rumble, Inc. (RUM) [C]		
	Brookfield Asset Management Ltd. (BAM) [-]		
	Carlyle Group Inc. (CG) [-]		
	Evercore, Inc. (EVR) [-]		
	Houlihan Lokey Inc. (HLI) [-]		
	Inter'l Bus. Machines Corp. (IBM) [-]		
	Nvidia Corp. (NVDA) [-]		
	Entergy Corp. (ETR) [C]	THINKING ABOUT	
	1000 Bu Corn		Kroger Co. (KR)
	400 Bu Soybeans		Ameriprise Financial Inc. (AMP)
			Automatic Data Processing Inc. (ADP)
			Motorola Solutions Inc. (MSI)

Buy, Sell, Thinking About: w/e 01/24/25

BUY		SELL	
MFS Blended Research Int'l Equity Fund (BRXAX)		Oakmark Global Sel Fund Inv Cl (OAKWX) [C]	
Schwab U.S. Large-Cap Growth ETF (SCHG) [+]		Invesco QQQ Trust ETF (QQQ) [C]	
Vanguard Small Cap ETF (VB) [+]		Gilead Sciences, Inc. (GILD) [C]	
Vanguard Small Cap Value ETF (VBR) [+]		Novo Nordisk ADR (NVO) [C]	
Vanguard Total Stock Market ETF (VTI) [+]		International Bus. Machines Corp. (IBM) [-]	
BP plc ADR (BP) [+]			
Federal National Mortgage Association (FNMA)			
Goldman Sachs Group, Inc. (GS)			
Taiwan Semiconductor Mfg ADR (TSM)			
		THINKING ABOUT	
AES Corp. (AES) [+]		iShares MSCI India ETF (INDA)	
Edison Int'l (EIX), 11/15/28, 5.25% MW, 6.24% YTM, BBB			
Becton Dickinson (BDX), 06/06/27, 4.4518% YTM			
Leggett & Platt (LEG), 11/15/27, 5.2811% YTM			

Buy, Sell, Thinking About: w/e 01/17/25

BUY		BUY	
	Alphabet Inc. (GOOGL)		Rio Tinto plc ADR (RIO) [+]
	Meta Platforms Inc. (META) [+]		Southern Company (SO)
	Amazon.com, Inc. (AMZN) [+]		Vistra Corp (VST)
	Cava Group, Inc. (CAVA) [+]		Verizon Bond, 4.5820% YTM, 02/22/28 mat
	LVMH Moet Hennessy Louis Vuitton (LVMUY) [+]		
	Equinor ASA ADR (EQNR) [+]		
	EQT Corp. (EQT)		
	Exxon Mobil Corp. (XOM) [+]		
FA	Deere & Co. (DE)	SELL	
	Salesforce Inc. (CRM) [+]		Vanguard Capital Opp'ty Fund Adm (VHCAX)
	ServiceNow Inc. (NOW) [+]		
	Nvidia Corp. (NVDA) [+]	THINKING ABOUT	
	Shopify Inc. (SHOP) [+]		

Buy, Sell, Thinking About: w/e 01/10/25

BUY		SELL	
	First Trust NASDAQ Cybersecurity ETF (CIBR)		Fidelity Int'l Capital Appr'n Fund (FIVFX) [-]
FA	iShares MSCI India ETF (INDA)		Fidelity Tax-Free Bond Fund (FTABX) [-]
	Janus Henderson AAA CLO ETF (JAAA) [+]	FA	Comcast Corp. (CMCSA) [C]
	Janus Henderson B-BBB CLO ETF (JBBB) [+]	FA	Sysco Corp. (SYY) [C]
	Materials Select Sector SPDR (XLB)		AMGEN Inc. (AMGN) [C]
	Sony Group Corporation ADR (SONY)		Merck & Co Inc. (MRK) [-]
	TechnipFMC plc (FTI)	FA	Veralto Corp. (VLTO) [C]
	Apple, Inc. (AAPL) [+]	FA	Cisco Systems, Inc. (CSCO) [C] [X2]
	Advanced Micro Devices, Inc. (AMD) [+]		Intel Corp. (INTC) [C]
	Microsoft Corp. (MSFT) [+]		Nvidia Corp. (NVDA) [-]
	Nvidia Corp. (NVDA) [+]		Taiwan Semiconductor Mfg ADR (TSM) [-]
	Taiwan Semiconductor Mfg ADR (TSM) [+]	FA	American Tower Corp. (AMT) [C]
	AES Corp. (AES) [+]		
	Fed Home Loan Bank (FHLB) bond, 5.25%, mat 08/08/2028, callable, YTW 5.30%		

FA: Financial Advisor Trade

[+] Add

[X2] Two Buys

[-] Trim

[C] Close

Buy, Sell, Thinking About: w/e 01/03/25

BUY		BUY	
	Vanguard 500 Index Adm Shares Fund (VFIAX)		Uber Technologies, Inc. (UBER) [+]
	iShares iBoxx \$ High Yield Corp Bond ETF (HYG)		United Parcel Service (UPS) [+]
	JPMorgan Nasdaq Eqty Prem ETF (JEPQ) [+]		Waste Management, Inc. (WM) [+]
	T. Rowe Price Mid-Cap Value Fund (TRMCX)		LyondellBasell Industries NV (LYB) [+]
FA	Vanguard Div Growth Fund Inv Shares (VDIGX)		AES Corp. (AES) [+]
FA	Vanguard Growth Indx Fund Adm Shares (VIGAX)		Entergy Corp. (ETR)
FA	Vanguard Federal Money Market Fund (VMFXX)		4, 8, 13 wk T-Bills (4.334%, 4.353%, 4.335%)
	Vanguard Cash Res Fed MM Fund Adm (VMRXX)		Boeing (BA) 2.52%, mat 03/2025, YTM 5.2%
	D.R. Horton, Inc. (DHI)		BMO 5.30%, mat 06/01/2026, YTM 5.30%
	Hanesbrands Inc. (HBI)		LNC, mat 03/01/2028, YTM 4.6707%
	Honda Motor ADR (HMC)		
	Novo Nordisk ADR (NVO)		NOTE
	Pfizer, Inc. (PFE) [+]		Vanguard 500 Index Fund Adm Shares (VFIAX)

Buy, Sell, Thinking About: w/e 01/03/25

SELL		SELL	
	Banco Santander S.A. ADR (SAN) [C]		
	Cigna Group (CI) [C]		
FA	Novo Nordisk ADR (NVO) [C]		
		THINKING ABOUT	
			Amplify Cybersecurity ETF (HACK)
			Eli Lilly and Co. (LLY)
			Medical Properties Trust Inc. (MPW)
			Intermediate-Term U.S. Treasury Notes

Buy, Sell, Thinking About: w/e 12/27/24

BUY		SELL	
	Alerian MLP ETF (AMLP) [+]		
	Janus Henderson B-BBB CLO ETF (JBBB)		
	TKO Group Holdings, Inc. (TKO) [+]		
	CRISPR Therapeutics AG (CRSP) [+]		
	Archer Aviation Inc. (ACHR)	THINKING ABOUT	
	Northrop Grumman Corp. (NOC)		
FA	United Parcel Service (UPS) [+]		
	Advanced Micro Devices, Inc. (AMD) [+] [X2]		
	Oracle Corp. (ORCL)		
	Texas Instruments Inc.		
	Realty Income Corp. (O) [+] [X2]		

Buy, Sell, Thinking About: w/e 12/20/24

BUY		BUY	
Alerian MLP ETF (AMLP) [+]		Waste Management, Inc. (WM) [+]	
iShares Bitcoin Trust ETF (IBIT)		Nvidia Corp. (NVDA)	
JPMorgan Nasdaq Eqty Prem ETF (JEPQ) [+]		AES Corp. (AES) [+]	
Invesco NASDAQ 100 ETF (QQQM)		3-year Treasury Note, 4.28% YTM	
Schwab U.S. Large-Cap Growth ETF (SCHG)		SELL	
Vanguard Small-Cap Index Fund ETF (VB) [+]		Fidelity Inter Treas Bnd Indx Fnd (FUAMX) [C]	
Vanguard Small Cap Value ETF (VBR) [+]		Fidelity 500 Index Fund (FXAIX) [C]	
Vanguard Cash Reserves Fed MM Fund (VMRXX)		Invesco QQQ Trust ETF (QQQ) [-]	
Alphabet Inc. (GOOGL)		Schwab U.S. Dividend Equity ETF (SCHD) [-]	
AT&T, Inc. (T) [+]		RTX Corp. (RTX) [C]	
Verizon Communications, Inc. (VZ) [+]		Broadcom, Inc. (AVGO) [-]	
Capital Southwest Corp. (CSWC) [+]		Intel Corp. (INTC) [-]	
Globus Medical Inc. (GMED)		Digital Realty Trust Inc. (DLR) [-]	

Buy, Sell, Thinking About: w/e 12/13/24

BUY		SELL	
	Fidelity Select Comm Services Portfolio (FBMPX)		Global X Lithium & Battery Tech ETF (LIT) [C]
	Fidelity Select Industrials Portfolio (FCYIX)		PepsiCo Inc. (PEP) [C]
	Fidelity Select Financials Portfolio (FIDSX)		Sysco Corp. (SYU) [C]
	Fidelity Select Consumer Discr Portfolio (FSCPX)		Pfizer, Inc. (PFE) [C]
	Fidelity Select Technology Portfolio (FSPTX)		Adobe Inc. (ADBE) [C]
	Fidelity Select Utilities Portfolio (FSUTX)		
	Parnassus Core Equity Fund - Inv Shares (PRBLX)		
	Vanguard Value Index Fund ETF (VTV)		
	Amazon.com, Inc. (AMZN)		
	RTX Corp. (RTX)		
	AES Corp. (AES)	THINKING ABOUT	
	Enel Chile Bond, 06/12/28 mat, 4.875% MW, YTM 5.185%		PIMCO Income Fund Class A (PONAX)
	5.25% Treasury Note, 11/15/28, YTM 4.1%		

Buy, Sell, Thinking About: w/e 12/06/24

BUY		SELL	
	Janus Henderson AAA CLO ETF (JAAA)		ARK Fintech Innovation ETF (ARKF) [C]
	Vanguard Growth Index Fund ETF (VUG)		Vanguard Int'l Growth Fund Adm (VWILX) [-]
	Amazon.com, Inc. (AMZN)		Abercrombie & Fitch Co. (ANF) [-]
	Navigator Holdings Ltd. (NVGS) [+]		Ford Motor Co. (F) [C]
	Schlumberger Ltd. (SLB) [+]		Houlihan Lokey Inc. (HLI) [-]
	Ares Capital Corp. (ARCC)		Novo Nordisk ADR (NVO) [-]
	Nvidia Corp. (NVDA) [+]		Applovin Corp. (APP) [-]
	Dominion Energy Inc. (D) [+]		Dell Technologies, Inc. (DELL) [C]
			Intel Corp. (INTC) [C]
		THINKING ABOUT	
			AES Corp. (AES)

Buy, Sell, Thinking About: w/e 11/29/24

BUY		BUY	
FA	American Funds American Balanced Fnd (ABALX)		Radware Ltd. (RDWR)
	Alerian MLP ETF (AMLP) [+]		Taiwan Semiconductor Mfg ADR (TSM) [+]
	Janus Henderson AAA CLO ETF (JAAA) [+]		LyondellBasell Industries NV (LYB) [+]
	Invesco QQQ Trust ETF (QQQ) [+]		Exelon Corp. (EXC) [+]
	Vanguard Small Cap Value ETF (VBR) [+]		Fixed Income Buys:
	Vanguard S&P 500 ETF (VOO)		JP Morgan CD, 5.02% 07/25, YTC 4.78% 01/25
	Vanguard Total Stock Market ETF (VTI)		Bank of California CD, 5.01% 12/17/24
	Alphabet Inc. (GOOG)		Bank of America CD, 4.80% 05/25
	Alphabet Inc. (GOOGL) [+]		
	PNC Financial Services Group Inc. (PNC) [+]		
	Advanced Micro Devices, Inc. (AMD) [+]		
	Applied Digital Corp. (APLD)		
	Nvidia Corp. (NVDA) [+]		

Buy, Sell, Thinking About: w/e 11/29/24

SELL		SELL	
FA	Am Funds EuroPacific Growth Fund (AEPGX) [C]		
FA	Amplify Digital Payments ETF (IPAY) [C]		
	Vanguard Total Int'l Stock ETF (VXUS) [X2] [C] [-]		
	Apple, Inc. (AAPL) [-]		
	Digital Realty Trust Inc. (DLR) [-]		
		THINKING ABOUT	
			Dodge & Cox Income Fund (DODIX)

Buy, Sell, Thinking About: w/e 11/22/24

BUY		BUY	
	Fidelity Wise Origin Bitcoin Fund (FBTC) [+]		Old National Bancorp Bond, 5.875%, mat 09/29/26, YTW 5.22%
FA	Fidelity Telecom and Utilities Fund (FIUIX)		Synchrony Financial Bond, 3.95% make whole, mat 12/01/27, YTM 5.20%
	Alphabet Inc. (GOOGL) [+]		TD Bank Bond, 5.40%, mat 05/10/27, YTM 5.39%
	Amazon.com, Inc. (AMZN) [+]		Continental Resources Bond, 5.3584% YTM, mat 01/15/28
	Cava Group, Inc. (CAVA)		Shell PLC Bond, 4.2536% YTM, mat 05/10/26
	D.R. Horton, Inc. (DHI)		
	AbbVie Inc. (ABBV) [+]		
	Chart Industries, Inc. (GTLS) [+]		
	Vertiv Holdings Co. (VRT)		
	Dell Technologies, Inc. (DELL)	FA	6-year U.S. Treasury Note, 4.375%
	Nvidia Corp. (NVDA) [+]		
	Vertex Pharmaceuticals, Inc. (VRTX) [+]		

Buy, Sell, Thinking About: w/e 11/22/24

SELL		SELL	
	ARK Genomic Revolution ETF (ARKG) [C]		
FA	Fidelity Low-Priced Stock Fund (FLPSX) [C]		
	Janus Henderson AAA CLO ETF (JAAA) [-]		
	Verizon Communications, Inc. (VZ) [-]		
FA	Gentex Corp. (GNTX) [-]		
FA	LVMH Moet Hennessy Louis Vuitton (LVMUY) [C]		
FA	Nike, Inc. (NKE) [-]		
	Pfizer, Inc. (PFE) [C]		
	Applovin Corp. (APP) [C]	THINKING ABOUT	
	Intel Corp. (INTC) [-]		iShares Exp Tech-Software Sector ETF (IGV)
			Whirlpool Corp. (WHR)
			CNH Industrial NV (CNH)
			Oklo, Inc. (OKLO)

Buy, Sell, Thinking About: w/e 11/15/24

BUY		BUY	
FA	iShares iBonds Dec 2033 Term Treas'y ETF (IBTO)		Bank of Montreal 5.40%,12/20/26,5.41% YTM
FA	iShares iBonds Dec 2034 Term Treas'y ETF (IBTP)		
	Invesco QQQ Trust ETF (QQQ)		
	Vanguard Total Stock Market ETF (VTI)		
	Capital Southwest Corp. (CSWC) [+]		
	HA Sustainable Infrastructure Capital (HASI) [+]		
	Pfizer, Inc. (PFE) [+]		
FA	Union Pacific Corp. (UNP)		
	Waste Management, Inc. (WM) [+]		
	Applovin Corp. (APP)		
	Nvidia Corp. (NVDA) [+]		
	Palantir Technologies Inc (PLTR) [+]		
	LyondellBasell Industries NV (LYB) [+]		

Buy, Sell, Thinking About: w/e 11/15/24

SELL		SELL	
FA	Lord Abbett Sh Dur Inc Fund Class I (LLDYX) [C]		
	Vanguard Real Estate Index Fund ETF (VNQ) [-]		
FA	Vanguard Sh-Term Fed Fund Adm Sh (VSGDX) [C]		
	Vanguard Total International Stock ETF (VXUS) [-]		
FA	Sysco Corp. (SYY) [C]		
	Oneok Inc. (OKE) [-]		
	Exxon Mobil Corp. (XOM) [-]		
	Eli Lilly and Co. (LLY) [-]		
	Apple, Inc. (AAPL) [-]	THINKING ABOUT	
	Applied Digital Corp. (APLD)		Cava Group, Inc. (CAVA)
			Vertiv Holdings Co. (VRT)
			Coherent Corp. (COHR)

Buy, Sell, Thinking About: w/e 11/08/24

BUY		BUY	
	Janus Henderson AAA CLO ETF (JAAA) [+]		Cadence Design Systems, Inc. (CDNS)
	Schwab High Yield Bond ETF (SCYB)		HubSpot Inc. (HUBS) [+]
FA	iShares Semiconductor ETF (SOXX)		Nvidia Corp. (NVDA) [+]
	SPDR S&P 500 ETF Trust (SPY)		Palantir Technologies Inc (PLTR) [+]
	Meta Platforms Inc. (META) [+]		SiTime Corp. (SITM) [+]
	Trade Desk, Inc. (TTD) [+]		Enbridge Bond, 4.4456% YTM, 12/01/2026 maturity
	Amazon.com, Inc. (AMZN) [+]		Bank of Montreal Bond, 5.35%, 03/18/2026 maturity, 5.41% YTM
	Capital Southwest Corp. (CSWC) [+]		3-yr US Treasury Note, 4.16% YTM
	FS KKR Capital Corp. (FSK) [+]		
	PNC Financial Services Group Inc. (PNC)		
	Webster Financial Corp. (WBS)		
	Pfizer, Inc. (PFE) [+]		
	Applovin Corp. (APP) [+] [X2]		

Buy, Sell, Thinking About: w/e 11/08/24

SELL		SELL	
FA	Fidelity Inv Money Market Treasury Only (FRSXX)		
	Alphabet Inc. (GOOGL) [-]		
	Oneok Inc. (OKE) [-]		
	Williams Cos Inc. (WMB) [-]		
	Amgen, Inc. (AMGN) [-]		
	Lockheed Martin Corp. (LMT) [-]		
	Nvidia Corp. (NVDA) [-]		
	Digital Realty Trust Inc. (DLR) [-]		
		THINKING ABOUT	
			Permian Resources Corp. (PR)
			L3Harris Technologies, Inc. (LHX)
			Northrop Grumman Corp. (NOC)
			Southern Company (SO)

Buy, Sell, Thinking About: w/e 11/01/24

BUY		BUY	
	JPMorgan Nasdaq Eqty Prem ETF (JEPQ) [+]		Advanced Micro Devices, Inc. (AMD) [+]
FA	Vanguard FTSE Developed Markets ETF (VEA)		Microsoft Corp. (MSFT) [+]
FA	Vanguard Int-Term Inv Grd Fund (VFIDX)		Taiwan Semiconductor Mfg ADR (TSM) [+]
	Vanguard Tax-Managed Bal Fund (VTMFX)		Dominion Energy Inc. (D)
FA	Vanguard Total Int'l Stock Index (VXUS)		
	Alphabet Inc. (GOOGL) [+]		Bonds
	Verizon Communications, Inc. (VZ) [+]		Canadian Pacific Kansas City Ltd. (CP), 4.554% YTM, 02/01/2026 maturity
	Amazon.com, Inc. (AMZN) [+]		Oracle Corp. (ORCL), 4.3334% YTM, 03/25/2026 maturity
	Whirlpool Corp. (WHR)		
	British American Tobacco Plc ADR (BTI) [+]		
	Bristol-Myers Squibb (BMY) [+]		
	Pfizer, Inc. (PFE) [+]		
	Honeywell International Inc. (HON) [+]		

Buy, Sell, Thinking About: w/e 11/01/24

SELL		SELL	
FA	Vanguard Adv Sel Div Growth Fund (VADGX) [C]		
FA	Vanguard Adv Sel Global Value Fund (VAGVX) [C]		
FA	Vanguard Adv Sel Int'l Growth Fund (VAIGX) [C]		
FA	Vanguard Capital Opportunity Fund (VHCAX) [C]		
FA	Vanguard Int'l Core Stock Fund (VZICX) [C]		
	Camping World Holdings Inc. (CWH) [C]		
	Mercedes-Benz Group AG (MBGAF) [C]		
	Altria Group Inc. (MO) [C]		
	PNC Financial Services Group Inc. (PNC) [-]	THINKING ABOUT	
	Novo Nordisk ADR (NVO) [-]	FA	iShares Semiconductor ETF (SOXX)
	Resmed Inc. (RMD) [C]		
	Southern Company (SO) [-]		

Buy, Sell, Thinking About: w/e 10/25/24

BUY		BUY	
	Vanguard Total Bond Market ETF (BND)		Uber Technologies, Inc. (UBER) [+]
	iShares U.S. Aerospace & Defense ETF (ITA) [+]		Vertiv Holdings Co. (VRT)
	Janus Henderson AAA CLO ETF (JAAA) [+]		Advanced Micro Devices, Inc. (AMD) [+]
	JPMorgan Nasdaq Eqty Prem ETF (JEPQ) [+ [X2]		Inter'l Bus. Machines Corp. (IBM) [+]
FA	Vanguard Mega Cap Growth ETF (MGK) [+]		Taiwan Semiconductor Mfg ADR (TSM) [+]
FA	Vanguard Mega Cap Value ETF (MGV) [+]		Bonds
FA	Vanguard Mid-Cap Value ETF (VOE) [+]		Synchrony Bank, 5.15% Make-Whole, 03/19/29, 5.44% YTM
FA	Vanguard Mid-Cap Growth ETF (VOT) [+]		Banco Santander, 4.5258% YTM, 10/05/26
	Verizon Communications, Inc. (VZ) [+]		Juniper Networks, 4.4779% YTM, 12/10/25
	Amazon.com, Inc. (AMZN) [+]		
	Genuine Parts Co. (GPC) [+]		
	OceanFirst Financial Corp. (OCFC) [+]		
	GE Aerospace (GE) [+]		

Buy, Sell, Thinking About: w/e 10/25/24

SELL		SELL	
FA	Invesco BulletShares 2028 Corp Bnd ETF (BSCS) [-]		Nasdaq Inc. (NDAQ) [C]
FA	Invesco BulletShares 2030 Corp Bnd ETF (BSCU) [-]		KBR Inc. (KBR) [C]
FA	Invesco BulletShares 2031 Corp Bnd ETF (BSCV) [-]		Nvidia Corp. (NVDA) [-]
FA	iShares MSCI Emerging Markets ETF (EEM) [C]		Digital Realty Trust Inc. (DLR) [-]
FA	iShares iBonds Dec 2033 Trm Treasry ETF (IBTO) [-]		Essex Property Trust, Inc. (ESS) [C]
	SPDR S&P 500 ETF Trust (SPY) [C]		American Electric Power Co. (AEP) [C]
	Vanguard S&P 500 ETF (VOO) [-]		Sempra (SRE) [C]
	Vanguard Total World Stock ETF (VT) [-]		
	Vanguard Total Stock Market ETF (VTI) [-]		
	Walt Disney Co. (DIS) [C]		
	Walmart, Inc. (WMT) [C]		
	BP plc ADR (BP) [C]		
	Chevron Corp. (CVX) [C]		

Buy, Sell, Thinking About: w/e 10/25/24

THINKING ABOUT	THINKING ABOUT
Technology Select Sector SPDR ETF (XLK)	
Ameriprise Financial Inc. (AMP)	
Wesbanco, Inc. (WSBC)	
Medtronic plc (MDT)	
Viatis Inc. (VTRS)	
Owens Corning (OC)	
Air Products and Chemicals, Inc. (APD)	
Crown Holdings, Inc. (CCK)	
Getty Realty Corp. (GTY)	
New Jersey Resources Corporation (NJR)	

Buy, Sell, Thinking About: w/e 10/18/24

BUY		SELL	
	Alerian MLP ETF (AMLP) [+]		Elevance Health, Inc. (ELV) [C]
	Verizon Communications, Inc. (VZ) [+]		RTX Corp. (RTX) [-]
	Amazon.com, Inc. (AMZN) [+]		Applovin Corp. (APP) [C]
	Trinity Capital Inc. (TRIN) [+]		Digital Realty Trust Inc. (DLR) [-]
	Wells Fargo & Co. (WFC) [+]		
	Pfizer, Inc. (PFE) [+]		
	Waste Management, Inc. (WM) [+]		
	Microsoft Corp. (MSFT) [+]		
		THINKING ABOUT	

Buy, Sell, Thinking About: w/e 10/11/24

BUY		BUY	
Janus Henderson AAA CLO ETF (JAAA) [+]		Structure Therapeutics, Inc. ADR (GPCR)	
Vanguard Mid-Cap Value ETF (VOE)		Uber Technologies Inc (UBER)	
Vanguard Total World Stock ETF (VT)		Waste Management, Inc. (WM)	
Alphabet Inc. (GOOGL) [+]		CrowdStrike Holdings, Inc. (CRWD)	
Trade Desk, Inc. (TTD)		DocuSign, Inc. (DOCU)	
Verizon Communications, Inc. (VZ) [+]		Nvidia Corp. (NVDA) [+]	
Amazon.com, Inc. (AMZN) [+]		Palantir Technologies Inc (PLTR)	
Toll Brothers Inc. (TOL)			
Commerzbank AG (CRZBY) [+]			
		SELL	
Everest Group Ltd. (EG) [+]		Vanguard Total Int'l Stock Index (VXUS) [-]	
Banco Santander S.A. ADR (SAN) [+]		AT&T, Inc. (T) [C]	
Wells Fargo & Co. (WFC)		Nvidia Corp. (NVDA) [-]	
Adma Biologics, Inc. (ADMA)		PTC, Inc. (PTC) [C]	

Buy, Sell, Thinking About: w/e 10/11/24

BUY

BONDS:

- Prospect Capital Corp. Call, Make Whole, Yield-to-Worst 5.856%, matures 01/2026
- Prospect Capital Corp. Call, Make Whole, Yield-to-Worst 6.854%, matures 11/2026
- Bain Capital Bond, 5.4558% YTM< matures 10/13/2026
- Boston Properties Corp. Bond, 5.0622% YTM, matures 02/01/2026
- Southern Company Bond, 4.6394% YTM, matures 12/01/2025

OPTIONS:

- Buy-To-Close
 - TSLA 245 SP, exp 11/01/24

SELL

OPTIONS:

- Sell-To-Open Puts
 - NVDA 110 SP, exp 01/15/27
 - AMZN 180 SP, exp 01/15/27
 - META 570 SP, exp 12/18/26
 - TSLA 240 SP, exp 12/18/26

Buy, Sell, Thinking About: w/e 10/04/24

BUY	SELL
Alerian MLP ETF (AMLP) [+]	Evercore, Inc. (EVR) [-]
JPMorgan Nasdaq Eqty Prem ETF (JEPQ) [+]	Houlihan Lokey, Inc. (HLI) [-]
Vanguard Small-Cap Index Fund ETF (VB)	Markel Group, Inc. (MKL) [-]
Vanguard Russell 1000 Growth Indx Fnd (VONG)	
Vanguard Growth Index Fund ETF (VUG)	
Verizon Communications, Inc. (VZ) [+]	
Enbridge, Inc. (ENB) [+]	
Schlumberger Ltd. (SLB)	
Blackstone Secured Lending Fund (BXSL) [+]	THINKING ABOUT
Blue Owl Capital Corporation (OBDC) [+]	Waste Management, Inc. (WM)
Trinity Capital Inc. (TRIN) [+]	
Pfizer, Inc. (PFE) [+]	
1-yr CD, 5.45% cpn, 4.67% YTM (Bank of India)	

Buy, Sell, Thinking About: w/e 09/27/24

BUY		SELL	
	Alerian MLP ETF (AMLP) [+]		T. Rowe Price Mid-Cap Growth Fd (RPTIX) [C]
	iShares Russell Mid-Cap Growth ETF (IWP)		Schwab Value Adv Money Market (SWVXX) [C]
	Janus Henderson AAA CLO ETF (JAAA) [+][X2]		Tesla, Inc. (TSLA) [C]
	Commerzbank AG (CRZBY)		Eli Lilly and Co. (LLY) [-]
	Trinity Capital Inc. (TRIN) [+]		Novo Nordisk (NVO) [-]
	Realty Income (O)		Broadcom, Inc. (AVGO) [-]
	Duke Energy Corp. (DUK) [+]		
		THINKING ABOUT	
			iShares 20+ Year Treasury Bond ETF (TLT)

Buy, Sell, Thinking About: w/e 09/20/24

BUY		BUY	
Alerian MLP ETF (AMLP)			Adobe, Inc. (ADBE) [+]
Janus Henderson AAA CLO ETF (JAAA) [+]			
Schwab U.S. Large-Cap Growth ETF (SCHG)			
SPDR S&P Homebuilders ETF (XHB) [+]		SELL	
AT&T, Inc. (T) [+]			Camping World Holdings, Inc. (CWH) [-]
Verizon Communications, Inc. (VZ) [+]			Amgen, Inc. (AMGN) [-]
Exxon Mobil (XOM)			Broadcom, Inc. (AVGO) [-]
Blackstone Secured Lending Fund (BXSL) [+]			Digital Realty Trust Inc. (DLR) [-]
Corebridge Financial, Inc. (CRBG)			1,000 bu. corn still in the field
Capital Southwest Corp. (CSWC) [+]			200 bu. soybeans still in the field
Everest Group Ltd. (EG) [+]		THINKING ABOUT	
Banco Santander S.A. ADR (SAN)			Commerzbank AG (CRZBY)
GE Vernova Inc. (GEV) [+]			

Buy, Sell, Thinking About: w/e 09/13/24

BUY	SELL
iShares S&P 500 Value ETF (IVE)	T. Rowe Price Value Fund (TRVLX) [C]
Alphabet Inc. (GOOGL) [+]	SELL TO OPEN PUTS
Verizon Communications, Inc. (VZ) [+]	<ul style="list-style-type: none"> • AAPL \$220 Strike, Expires 12/19/2025
Tesla, Inc. (TSLA)	<ul style="list-style-type: none"> • NVDA \$105 Strike, Expires 01/16/2026
Ares Capital Corp. (ARCC) [+]	<ul style="list-style-type: none"> • NVDA \$119 Strike, Expires 12/18/2026
JPMorgan Chase & Co. (JPM) [+]	SELL TO OPEN CALL
Sixth Street Specialty Lending, Inc. (TSLX) [+]	<ul style="list-style-type: none"> • TSLA \$245 Strike, Expires 11/01/2024
Apple, Inc. (AAPL) [+]	
Nvidia Corp. (NVDA) [+]	THINKING ABOUT
Palantir Technologies Inc (PLTR)	Corebridge Financial, Inc. (CRBG)
BUY TO OPEN CALLS	
<ul style="list-style-type: none"> • AMZN \$160 Strike, Expires 12/19/2025 	
<ul style="list-style-type: none"> • NVDA \$100 Strike, Expires 12/19/2025 	

Buy, Sell, Thinking About: w/e 09/06/24

BUY		SELL	
	JPMorgan Nasdaq Eqty Prem ETF (JEPQ) [+]		RTX Corp. (RTX) [-]
	Verizon Communications, Inc. (VZ) [+]		
	BP plc ADR (BP)		
	Apple, Inc. (AAPL) [+]		
	5.4% Zion bank CD		
		THINKING ABOUT	
			Berkshire Hathaway Inc. (BRK/B)

Buy, Sell, Thinking About: w/e 08/30/24

BUY		SELL	
JPMorgan Nasdaq Eqty Prem ETF (JEPQ) [+]		Janus Henderson AAA CLO ETF (JAAA) [C]	
Alphabet Inc. (GOOGL) [+]		Vanguard Total Int'l Stock Index (VXUS) [C]	
Verizon Communications, Inc. (VZ) [+]		Inter'l Bus. Machines Corp. (IBM) [-]	
Macy's, Inc. (M)		CareTrust REIT Inc. (CTRE) [-]	
Chevron Corp. (CVX)			
Trinity Capital Inc. (TRIN) [+]			
Pfizer, Inc. (PFE) [+]		THINKING ABOUT	
Apple, Inc. (AAPL) [+]		Banco Santander S.A. ADR (SAN)	
Microsoft Corp. (MSFT) [+]		U.S. Bancorp. (USB)	
Nvidia Corp. (NVDA) [+]			
MGIC Inv. Corp. 5.25% note, 08/15/28, YTM 5.39%			

Buy, Sell, Thinking About: w/e 08/23/24

BUY		BUY	
FA	Dodge & Cox Global Bond Fund Cl (DODLX) [+]		Rolls-Royce Holdings PLC ADR (RYCEY)
	iShares High Yield Systematic Bond ETF (HYDB)		United Parcel Service (UPS) [+]
	iShares US Industrials ETF (IYJ) [+]		Freeport-McMoRan Inc (FCX)
FA	Schwab Gov Money Fund - Inv Sh (SNVXX)		American Tower Corp. (AMT)
FA	Schwab Gov Money Fund - Swp Sh (SWGXX) [+]		Valley National Bancorp (VLY) [+]
	Vanguard US Quality Factor ETF (VFQY) [+]		
	Unilever plc ADR (UL) [+]		Bonds:
FA	Conoco Phillips (COP) [+]		• HF Sinclair Corporate Note (MkWhl) 5.875%, mat 04/01/26 YTM 5.23%
	Devon Energy Corp. (DVN) [+]		• Blackstone Private Credit Fund (BX), mat 03/15/27 YTM 5.6092%
	Ares Capital Corp. (ARCC) [+]		• Sherwin-Williams Co. (SHW), mat 01/15/26 YTM 4.6838%
	Blue Owl Capital Corporation (OBDC) [+]		• Western Midstream Partners LP (WES), mat 01/07/26 YTM 4.6747%
	Prudential plc ADR (PUK)		
	Trinity Capital Inc. (TRIN) [+]		Treasury:
			• US Treasury 1-yr 4.4897%

Buy, Sell, Thinking About: w/e 08/23/24

SELL		SELL	
FA	Vanguard Total Stock Market ETF (VTI) [-]		
FA	Allspring Spec Mid Cap Val Fnd - Class Inst (WFMIX)		
	Exxon Mobil (XOM) [-]		
	Amgen, Inc. (AMGN) [-]		
	Crown Castle International Corp. (CCI) [C]		
	Options:	THINKING ABOUT	
	• Written Call (covered call strategy):		Starbucks Corp. (SBUX)
	• FCX, strike 50, exp 01/17/2025		Toll Brothers Inc. (TOL)
			Parker-Hannifin Corp. (PH)

Buy, Sell, Thinking About: w/e 08/16/24

BUY		BUY	
	Manning & Napier Hi Yld Bnd Ser Cl S (MNHYX)		Apple, Inc. (AAPL) [+]
	Schwab Value Adv Money Market (SWVXX) [+]		Microsoft Corp. (MSFT) [+]
	Vanguard Tax-Exempt Bond Idx Fnd ETF (VTEB)		Nvidia Corp. (NVDA) [+] [X2]
	AT&T, Inc. (T) [+]		OGE Energy Corp. (OGE)
	Verizon Communications, Inc. (VZ) [+]		IEP Bond, 5.25% MkWhl 5/15/27, YTM 6.7% BB
FA	Booking Holdings, Inc. (BKNG) [+]		Bank of Montreal, 6.05%, 8/16/28, YTM 6.04%
	Chipotle Mexican Grill (CMG)		KeyCorp Bond, 7.75% MkWhl 7/15/29
	Intercontinental Exchange Inc (ICE)		
	Prosperity Bancshares Inc. (PB)		
	AbbVie Inc. (ABBV)		
	Pfizer, Inc. (PFE) [+]		
	Sanofi ADR (SNY)		
	General Dynamics Corp. (GD) [+]		

Buy, Sell, Thinking About: w/e 08/16/24

SELL		SELL	
	Vanguard ST Infl Prt Sec Indx Fd ETF (VTIP) [C]		
	Tesla, Inc. (TSLA) [-]		
	JPMorgan Chase & Co. (JPM) [-]		
	Main Street Capital Corporation (MAIN) [-]		
	Wells Fargo & Co. (WFC) [-]		
	Merck & Co., Inc. (MRK) [-]		
FA	Cisco Systems, Inc. (CSCO) [C]	THINKING ABOUT	
	Olin Corp. (OLN) [C]		Abbott Laboratories (ABT)
	Written Put: CMG 52.50 SP exp 12/18/2026		Humana Inc. (HUM)
			NextEra Energy Inc. (NEE)
			Southern Company (SO)
			TXNM Energy Inc. (TXNM)